

DT Rec'd PCT/PTO 14 FEB 2005

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q71952

Kenichiro NAKAJIMA

Appln. No.: Not Yet Assigned

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: February 14, 2005

Examiner: Unknown

For: FILLED SKUTTERUDITE-BASED ALLOY, PRODUCTION METHOD THEREOF AND  
THERMOELECTRIC CONVERSION DEVICE FABRICATED USING THE ALLOY

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the International Search Report from the corresponding International Application, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for

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INFORMATION DISCLOSURE STATEMENT  
U.S. Appl. No.: National Stage Entry of PCT/JP03/10058  
Attorney Docket No.: Q71952

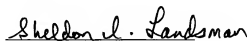
continued examination (RCE) under § 1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of an English-language International Search Report for the corresponding PCT/JP03/10058 dated October 16, 2003, including at least that portion of the International Search Report indicating the degree of relevance found by the International Search Authority. In addition, JP 2002 026400A is discussed at page 4 of the present specification.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
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WASHINGTON OFFICE  
23373  
CUSTOMER NUMBER

Date: February 14, 2005

Substitute for Form 1449 A &amp; B/PTO

## **INFORMATION DISCLOSURE** **STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Application Number	National Stage Entry of PCT/JP03/10058
Confirmation Number	Unknown
Filing Date	February 14, 2005
First Named Inventor	Kenichiro NAKAJIMA
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	Q71952

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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>8</sup>
		Patent Abstracts of Japan, vol. 2002, no. 05, May 3, 2002, JP 2002 026400 A (Toshiba Corp), January 25, 2002	
		Patent Abstracts of Japan, vol. 2000, no. 21, August 3, 2001, JP 2001 102642 (Yamaguchi Industrial Promotion Foundation), April 13, 2001	
		Patent Abstracts of Japan, vol. 2000, no. 07, September 29, 2000, JP 2000 095523 A (Ricoh Co. Ltd.) April 4, 2000	

**Examiner Signature**

/Tyler Bennett/

Date Considered

06/03/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\* Applicant's unique citation designation number (optional). \*\* See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the corresponding box of this document. \*\*\* Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \* Applicant is to indicate here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.B./